

Issued by the
UNITED STATES DISTRICT COURT

Southern DISTRICT OF Indiana

United States Securities and Exchange Commission

John E. Brinker, Jr.,
Gary J. Bentz, **V.**
Castlerock Consulting, LLC,
Guardian First Limited, Inc.,
(A Nevada Corporation),
Guardian First Limited, Inc., (A Grenada Corporation), et al.

SUBPOENA IN A CIVIL CASE

CASE NUMBER: ¹ IP01-0259 C H/G

Judge Hamilton

Effective Office Environments
TO: attn: Kim Barrens
2245 Gilbert Avenue
Cincinnati, Ohio 45206

YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY	COURTROOM
	DATE AND TIME

YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION	DATE AND TIME
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YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects):

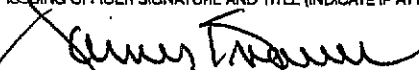
Please see attached instructions.

PLACE	DATE AND TIME
Kroger, Gardis & Regas, L.L.P., c/o James A. Knauer 111 Monument Circle, Suite 900, Indianapolis, IN 46204-5125	May 23, 2001, 9:00 a.m.

YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

FREMISES	DATE AND TIME
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Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure, 30(b)(6).

ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)	DATE
	May 10, 2001

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER
James A. Knauer, Receiver Kroger, Gardis & Regas, L.L.P., 111 Monument Circle, Suite 900, Indianapolis, IN 46204-5125 (317) 692-9000

(See Rule 45, Federal Rules of Civil Procedure, Parts C & D on Reverse)

¹ If action is pending in district other than district of issuance, state district under case number.

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PROOF OF SERVICE

	DATE	PLACE	
SERVED	May 10, 2001		Effective Office Environments attn: Kim Barrens 2245 Gilbert Avenue Cincinnati, Ohio 45206
SERVED ON (PRINT NAME)			MANNER OF SERVICE

Via Certified Mail

	DATE	TITLE	
SERVED BY (PRINT NAME)			
Heather N. Schuyler			

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on May 10, 2001
DATE

SIGNATURE OF SERVER

ADDRESS OF SERVER Kroger, Gardis & Regas, L.L.P.
111 Monument Circle, Suite 900
Indianapolis, IN 46204-5125

Rule 45, Federal Rules of Civil Procedure, Parts C & D:

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.

(2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

- (i) fails to allow reasonable time for compliance;
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in

person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
- (iv) subjects a person to undue burden.

(B) If a subpoena

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

1. All Documents which evidence or describe the equipment, goods or materials purchased in the transactions described on Exhibit 'A' attached hereto.
2. All Documents which evidence or describe the equipment, goods or materials purchased by any of the companies described on Exhibit 'B' attached hereto.

Note: The term "**Documents**" as used in this subpoena means the original or an identical and legible copy thereof, and all non-identical copies (whether different from the original by reason of notations made on such copies or otherwise), regardless of origin or location, of any writings or records of any type or description, however produced or reproduced, including but not limited to any papers or books, records, letters, photographs, videotapes, audiotapes, correspondence, communications, telegrams, cables, telex messages, memoranda, notes, notations, work papers, transcripts, minutes, reports and recordings of telephone or other conversations, or of interviews, conferences, or other meetings, affidavits, statements, summaries, opinions, reports, studies, analyses, evaluations, contracts, agreements, jottings, agendas, bulletins, notices, announcements, advertisements, instructions, charges, manuals, brochures, publications, schedules price lists, client lists, journals, statistical records, desk calendars, appointment books, diaries, lists, tabulations, sound recordings, computer printouts, data processing program library, data processing input and output, electronic mail, microfilm, books of accounts, records and invoices reflecting business operations, all records kept by electronic, photographic or mechanical means, any notes or drafts relating to the foregoing, and all things similar to any of the foregoing, however denominated. The term refers to all documents in your control or possession or which have been in your control or possession at any time during the past five years and includes any documents located in your files at the facility at which you work.

Store Name	Date	Amount	Company	Account	Check #	Memo
Effective Office Environments	2/28/00	6,317.60	Castlerock Consulting LLC	General Ledger	2221	
Effective Office Environments	3/22/00	9,000.00	Castlerock Consulting LLC	General Ledger	2247	
Effective Office Environments	3/22/00	19,106.00	Castlerock Consulting LLC	General Ledger	2248	
Effective Office Environments	4/1/00	211.00	Eleven Eighty-Five	Balance Sheet	5812	Desks
Effective Office Environments	4/7/00	84,000.00	Castlerock Consulting LLC	General Ledger	2266	
Effective Office Environments	4/12/00	5,365.46	Castlerock Consulting LLC	General Ledger	2274	
Effective Office Environments	4/14/00	2,903.72	Eleven Eighty-Five	Balance Sheet	5834	Hutch
Effective Office Environments	4/25/00	11,677.92	Castlerock Consulting LLC	General Ledger	2291	
Effective Office Environments	6/9/00	53,518.67	Eleven Eighty-Five	Key - 352391000226	6017	
Effective Office Environments	9/6/00	207.00	Eleven Eighty-Five	Key - 352391001711	Debit	

EXHIBIT "A"

Wellington Companies

- Allied Aggregates, Inc.
- Alpha Advantage 51st International Investments Inc.
- Alpha Advantage Asset & Acquisition
- Alpha Advantage I, II, III, IV, and/or V, Ltd.
- Alpha Advantage II, Inc.
- Baja Comp.
- Castlerock Consulting, LLC
- Cherry Lane Management, Inc.
- Eleven Eighty-Five, FLP or LP
- Emerald Gate Holdings, LLC
- Guardian First Limited, Inc.
- Steadfast Ministries, Inc.
- Talisman Management Group Inc.
- Wellington Bank and Trust, Ltd.
- Wellington Capital Holdings Limited, Inc.
- Wellington Capital Holdings, Ltd.
- Wellington First thru Thirty-Seventh International Investments, Inc.

Exhibit "B"